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|--|---------------------------------|------------------------|---|
| TRANSMITTAL LETTER | | | Case No. 10541-193 Visteon No. V200-0198 |
| Serial No. 10/066,290 | Filing Date January 31, 2002 | Examiner Unassigned | Group Art Unit 3744 |
| Inventor(s) Peter Rex Gawthrop et al. | | | |
| Title of Invention RECEIVERLESS AIR CONDITIONING SYSTEM | | | |

TO THE COMMISSIONER FOR PATENTS

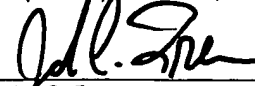
Transmitted herewith is Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; Form PTO-1449; Ten (10) Cited References; Postcard Evidencing Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a ____ month extension of time.
- ☐ The fee has been calculated as shown below:

| | | | | | Small Entity | | or | Other Than Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|-----------------|-----------|----|-------------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | | Rate | Add'l Fee |
| Total | | Minus | | | x \$9 = | | | x \$18 = | |
| Indep. | | Minus | | | x .42 = | | | x \$84 = | |
| First Presentation of Multiple Dep. Claim | | | | | + \$140 = | | | + \$280 = | |
| | | | | | Total add'l fee | \$ | | Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 06-1500 (VISTEON GLOBAL Technologies, INC) in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ ____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 06-1500. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 06-1500. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


John C. Freeman
Registration No. 34,483
Attorney for Applicants

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JUL 08 2003

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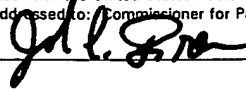
BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313, on June 30, 2003.

Date:

June 30, 2003

Signature:





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Name of Registered Representative: *John C. Freeman*
John C. Freeman, Reg. No. 34,483
June 30, 2003
Date of Signature

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VISTEON CASE NO. V200-0198
BRINKS HOFFER CASE NO. 10541/193

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:)
)
Peter Rex Gawthrop et al.)
) Group Art Unit: 3744
Serial No.: 10/066,290)
) Examiner: unassigned
Filed: January 31, 2002)
)
For: RECEIVERLESS AIR)
CONDITIONING SYSTEM)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.98, Applicants hereby submit the following references for consideration by the Examiner. Copies of the references are enclosed along with a completed copy of Form PTO-1449.

I. DISCLOSURE

A. U.S. Patents

| <u>Patent No.</u> | <u>Inventor</u> | <u>Issue Date</u> |
|--------------------------|------------------------|--------------------------|
| 5,101,890 | Aoki et al. | 04/07/92 |
| 5,178,211 | Bauer et al. | 01/12/93 |
| 6,003,592 | Yamamoto et al. | 12/21/99 |

B. Foreign Patent References

| <u>Reference No.</u> | <u>Country</u> | <u>Publication Date</u> |
|-----------------------------|-----------------------|--------------------------------|
| 220,299 | U.K. | 11/02/25 |
| 362,781 | U.K. | 12/10/31 |
| 841,951 | U.K. | 07/20/60 |
| 1 447 282 | U.K. | 08/25/76 |
| 0 255 313 A2 | E.P. | 02/03/88 |
| 0 379 701 A1 | E.P. | 08/01/90 |
| 2 346 680 A | U.K. | 08/16/00 |

II. DISCUSSION

A. U.S. Patent No. 5,101,890

The '890 patent was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom. An X-type reference is a document indicating lack of novelty or inventive step.

B. U.S. Patent No. 6,003,592

The '592 patent was cited as an X-type reference in a Search Report regarding a

corresponding application filed in the United Kingdom.

C. U.K. Patent Reference No. 220,299

The '299 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

D. U.K. Patent Reference No. 362,781

The '781 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

E. U.K. Patent Reference No. 841,951

The '951 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

F. U.K. Patent Reference No. 1 447 282

The '282 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

G. E.P. Patent Reference No. 0 255 313

The '313 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

H. E.P. Patent Reference No. 0 379 701

Based solely on the drawings and the attached English-language Abstract, the '701 reference is pertinent because it appears to disclose a heat exchanger that uses parallel extending flat tubes and corrugated ribs. It is believed that U.S. Patent No. 5,178,211 corresponds to the '701 reference.

The '701 patent reference was cited as an X-type reference in a Search Report regarding a

corresponding application filed in the United Kingdom.

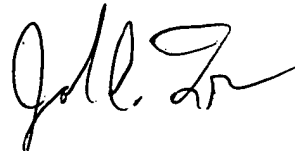
I. U.K. Patent Reference No. 2 346 680

The '680 patent reference was cited as an X-type reference in a Search Report regarding a corresponding application filed in the United Kingdom.

III. CONCLUSION

It is believed that none of these references, alone or in combination, disclose or suggest the invention claimed. However, Applicants wish to make it clear that the disclosure of the above references is in no way an admission that they qualify as prior art. It is Applicants' desire, however, to have these references available in the record for both the Examiner and the public to see. Applicants therefore request that the Examiner review the entire disclosure of each reference and make the above-listed references of record.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Freeman", is written over the typed name.

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Attorney for Applicants

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Dated: June 30, 2003